

## Key Metrics sheet ([P/C]\_DISCLOSURE KM1\_Notes)

This form is mostly automatically filled in as it contains linkages to other reporting forms. Banks are only asked to complete the 3 input cells described below.

ID	Label	Legal references and comments
<b>Rows</b>		
4.7.21	Bank G-SIB and/or D-SIB additional requirements	International supplementary buffer for systemically important institutions according to RBC40 of the Basel framework (see CAO Annex 1).
4.7.37	14e Minimum capital requirement (Art. 42 CAO) Maximum of RWA-based and LRD-based capital requirement, subject to minimum capital requirement of 10 Mio CHF (Banking Ordinance art. 15) for banks, or 1.5 Mio CHF (FinIO art. 69 par. 1) for securities dealers)	This figure should generally correspond to the maximum of the capital requirements based on (i) the risk-weighted assets (RWA) and (ii) the leverage ratio exposure denominator (LRD), see CAO art. 42. Notwithstanding, the value must be adjusted in cases where the minimum capital requirement of 10 million CHF (Banking Ordinance art. 15) for banks, or 1.5 million CHF (FinIO art. 69 par. 1) for securities dealers is higher than the abovementioned maximum.
4.7.38	The bank / securities dealer confirms that the values stated above correspond / will correspond to published values and takes note that these values may be published by FINMA as part of its Key Metrics disclosure.	Banks must confirm whether the figures automatically entered in the form correspond to the key metrics (Table KM1) publicly disclosed by the bank according to OPub-FINMA art. 4 par. 3. Enter "Yes" if confirmed, otherwise enter "No".