Eidgenössische Finanzmarktaufsicht FINMA
Autorité fédérale de surveillance des marchés financiers FINMA
Autorità federale di vigilanza sui mercati finanziari FINMA
Swiss Financial Market Supervisory Authority FINMA

Press release

Date:
20 May 2026

Embargoed until:

Contact:
Ursula Keel, Spokesperson
Tel. +41 (0)31 327 14 45
ursula.keel@finma.ch

FINMA publishes new ordinance on risk diversification for banks and securities firms

The Swiss Financial Market Supervisory Authority FINMA is incorporating two existing circulars on risk diversification at banks and securities firms into a new ordinance. In doing so it is fulfilling the requirement for the format compliance of regulation in accordance with Article 7 paragraph 1 of the Financial Market Supervision Act.

The new FINMA Ordinance on the Risk Diversification of Banks and Securities Firms (RDO-FINMA) replaces the previous Circulars 2013/7 "Limits on intra-group positions – banks" and 2019/1 "Risk diversification – banks".

FINMA held a consultation on this ordinance from 3 July 2025 to 29 September 2025. The transfer was welcomed by the majority.

The few substantive changes were connected to the final Basel III reforms, which came into force on 1 January 2025 under the Federal Council's Capital Adequacy Ordinance.

The new RDO-FINMA enters into force on 1 January 2027. FINMA Circulars 2013/7 and 2019/1 will be repealed at the same time.